

CALLY JORDAN

c.jordan@unimelb.edu.au; jordan.cally@gmail.com

Academic background, professional qualifications, citizenship, languages

- **DEA**. droit des affaires, with distinction (Université de Paris I, Panthéon-Sorbonne)
- **LLB (JD), BCL**, first in combined program, multiple distinctions (McGill)
- **MA** (Toronto); **BA** with distinction (Carleton)
- Admitted to practice: Ontario, Quebec, California, New York, Hong Kong
- Neutral arbitrator, International Center for Dispute Resolution, AAA, New York USA
- Member: American Law Institute; International Academy of Comparative Law; European Law Institute; Experts Panel, P.R.I.M.E. Finance Foundation, The Hague NL
- Country experience: Armenia, Australia, Bahrain, Brunei, Cambodia, Canada, China, Egypt, France, Germany, Hong Kong, Indonesia, Italy, Kenya, Korea, Laos, Lithuania, Macedonia, Mauritius, Netherlands, Philippines, Slovakia, Tanzania, Tunisia, Uganda, United Kingdom, United States, Vietnam
- Canadian citizen; Fluent French and English

Academic, Research and Policy Work

- Professor/Visitor/Fellow: British Institute for International and Comparative Law; Center for Transnational Legal Studies (London); Duke Law School; University of Florida; Georgetown Law Center; Harris Manchester College, Oxford; IUC Torino; London School of Economics and Political Science; Max Planck Institute (Hamburg); McGill; Melbourne; Netherlands Institute for Advanced Study; Osgoode Hall Law School; Estey Chair in Business Law, Saskatchewan; Tulane; Yale
- Research/Teaching: Corporate Governance: International Perspectives, International Capital Markets, Business Associations, International Business Transactions, Comparative Law, Legal Method and Reasoning
- Full-time advisor (1995-97), Financial Services Bureau, Hong Kong; author of proposals for a new companies law for Hong Kong
- Working Group Member, Council on Foreign Relations, New York USA
- Research Member, European Corporate Governance Institute, Brussels BE
- Senior Research Fellow, C.D. Howe Institute, Toronto CA
- Senior Research Fellow, Centre for International Governance Innovation, Waterloo CA
- Editorial Board Member, Capital Markets Law Journal, Oxford Academic
- Inaugural P.R.I.M.E. Finance (Lord Woolf) Fellow, Netherlands Institute for Advanced Study, Wassenaar NL
- Over 150 publications and presentations, including *International Capital Markets: Law and Institutions*, 2nd ed. (Oxford University Press, 2021)

Financial Sector Expertise

- Private practice – international finance (Cleary Gottlieb, New York, in particular)
- High level technical skills in banking regulation, capital markets, corporate governance
- Twenty-five years' advisory experience (ADB, IFC, The World Bank, UNDP, CIDA)
- Advisory Panel on Local Capital Markets Development, EBRD, London UK (2011-18)

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PROFESSIONAL QUALIFICATIONS

Admitted to practice: Hong Kong, New York, California, Ontario, Quebec

EDUCATION

DEA droit des affaires, with distinction ("avec mention"), with Jacques Ghestin, Geneviève Viney and Paul Lagarde, Université de Paris I (Panthéon-Sorbonne)

LLB (JD), BCL, McGill University

MA, University of Toronto

BA with distinction, Carleton University

ACADEMIC DISTINCTIONS (LAW)

First in combined common law/civil law program (McGill); University Scholar (McGill); Macdonald Travelling Scholarship (McGill); McCarthy Tétrault Scholarship (McGill); Ballon Memorial Medal (McGill); French Government Scholarship for graduate studies
Law Clerk, Justice Brian Dickson, Supreme Court of Canada

LANGUAGES

Fluent French and English

COUNTRY EXPERIENCE

Armenia, Australia, Bahrain, Brunei, Cambodia, Canada, China, Egypt, France, Germany, Hong Kong, Indonesia, Italy, Kenya, Korea, Laos, Lithuania, Macedonia, Mauritius, Netherlands, Philippines, Slovakia, Tanzania, Tunisia, Uganda, United Kingdom, United States, Vietnam

PROFESSIONAL EXPERIENCE

Academic

Full-time. UNIVERSITY OF MELBOURNE, Melbourne AU (2007-). Corporations; International Capital Markets; Corporate Governance: International Perspectives; Comparative Law; Legal Research; Legal Method and Reasoning

UNIVERSITY OF FLORIDA, Gainesville FL USA (2003-06, on leave from The World Bank). International Securities Regulation; Corporations Law; Comparative Law

McGILL UNIVERSITY, Montreal CA (1991-96). International Securities Regulation; International Business Enterprises; Business Associations

Visitorships. YALE LAW SCHOOL, Visiting Professor, New Haven CT USA, International Capital Markets: Law and Institutions (2021)

GEORGETOWN CENTRE FOR TRANSNATIONAL LEGAL STUDIES, Visiting Professor, London UK, International Capital Markets: Law and Institutions; Corporate

Governance: International Perspectives (2019)

HARRIS MANCHESTER COLLEGE, OXFORD UNIVERSITY, Academic Visitor, Oxford UK (2018)

LONDON SCHOOL OF ECONOMICS AND POLITICAL SCIENCE, LAW DEPARTMENT, Visiting Fellow, London UK (2013, 2018)

UNIVERSITY OF SASKATCHEWAN, Estey Visiting Chair in Business Law, Saskatoon CA, International Capital Markets: Law and Institutions (2015-2017)

MCGILL UNIVERSITY, Visiting Professor, Montreal CA, International Capital Markets: Law and Institutions (2015)

GEORGETOWN LAW CENTER, Dean's Visiting Scholar, Washington DC USA (2014)

NETHERLANDS INSTITUTE FOR ADVANCED STUDY IN THE HUMANITIES AND SOCIAL SCIENCES, Inaugural NIAS-P.R.I.M.E. Finance (Lord Woolf) Fellow, Wassenaar NL (2013)

BRITISH INSTITUTE FOR INTERNATIONAL AND COMPARATIVE LAW, Inaugural Watts Visiting Fellow, London UK (2013)

MAX PLANCK INSTITUTE FOR COMPARATIVE AND INTERNATIONAL PRIVATE LAW, Visiting Fellow, Hamburg DE (2012)

GEORGETOWN CENTER FOR TRANSNATIONAL LEGAL STUDIES, Visiting Professor, London UK, International Capital Markets, Transnationalism in Theory and Practice (2011)

DUKE LAW SCHOOL, Visiting Professor, Durham NC USA, Comparative Law, International Securities Regulation (2010)

INTERNATIONAL UNIVERSITY COLLEGE OF TURIN, Comparative Law, Economics and Finance Program, Visiting Professor, Torino IT, Financial Instruments/ Derivatives, International Securities Regulation (2008-09)

Adjunct. GEORGETOWN LAW CENTER, Washington DC USA, Global Securities Markets (2001); Comparative Law (2002); **OSGOODE HALL LAW SCHOOL**, Toronto CA, International Securities Regulation (2002, 2015); **TULANE UNIVERSITY**, New Orleans LA USA, International Securities Law (1994); **UNIVERSITY OF MELBOURNE**, Melbourne, AU, Comparative Companies Law in the Asia Pacific Region (1998, 2000), International Securities Regulation (2005)

Administrative Responsibilities. McGill: Chair, Law/MBA Program; Chair, Graduate Studies Committee; Counsel, Senate Committee on Student Grievances; Secretary, Faculty Council; Co-ordinator, International Business Law Program; Chair, Meredith Memorial Lectures 1995; **Florida:** Member, International Studies Committee; **Melbourne:** Member, International Programmes Advisory Committee; Budget Committee; Advisor – International Careers; Director (Associate Dean) for International Relations.

International Development

THE WORLD BANK. Senior Counsel (Finance and Private Sector Development; Co-financing). Advisor on financial regulatory reforms, corporate law and corporate governance, capital markets; project finance. Washington DC USA (1999-2007).

ASIAN DEVELOPMENT BANK. Special Counsel to the General Counsel; corporate governance, securities law, corporate law. Manila PH (1998–99)

EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT, Advisory Panel on Local Capital Markets Development – Legal and Regulatory Work, London UK (2011-18)

Private Practice

UNITED STATES. Corporate finance; project finance; international securities; derivatives; privatization; bank regulatory work; international trade and cross-border transactions. **CLEARY, GOTTLIEB, STEEN & HAMILTON,** New York; **O'MELVENY & MYERS,** Los Angeles, New York, Washington DC.

CANADA. Corporate commercial practice. **OSLER HOSKINS & HARCOURT,** Toronto, among others.

Advisory Work

HONG KONG GOVERNMENT, FINANCIAL SERVICES BUREAU. Full-time project, resident in Hong Kong (1995-1998): Proposals for a new companies law for Hong Kong; *Consultancy Report on the Review of the Hong Kong Companies Ordinance,*

GOVERNMENT OF THE UNITED KINGDOM. Department of Trade and Industry, London UK (July 1998) Major report, *International Survey of Companies Law in the Commonwealth, Europe, North America and Asia,* Review of Companies Law

BRUNEI, Monetary Authority, Capital Markets Unit, Advisor on Capital Markets Development (2015-2016)

IFC, WORLD BANK, CIDA, UNDP, ADB, EBRD. Over twenty years experience. Advisor on corporate law reform; securities law reform; commercial law reform. Instrumental in development of a new commercial Enterprise Law in Vietnam (1999); advisor in subsequent reforms (2004- 05); advisor to the Chinese government on introduction of Securities Law (1998) and subsequent reforms (2004)

INTERNATIONAL COMMERCIAL ARBITRATION. International Center for Dispute Resolution, Member, Neutrals Panel (1986 -) New York USA

PROFESSIONAL ACTIVITIES

EUROPEAN CORPORATE GOVERNANCE INSTITUTE, Brussels BE Member (2002-2020), Research Member (2021-); **CENTRE FOR INTERNATIONAL GOVERNANCE INNOVATION**, Senior Research Fellow, Waterloo CA (2016 -); **C.D.HOWE INSTITUTE**, Toronto CA, Senior Research Fellow (2015-); **CAPITAL MARKETS LAW JOURNAL**, Editorial Board Member, Oxford Academic (2016-); **EUROPEAN LAW INSTITUTE** (2012-); **INTERNATIONAL FINANCIAL MARKETS PANEL, P.R.I.M.E. FOUNDATION**, The Hague NL, Member, Panel of Experts (2011-); **ADVISORY PANEL ON LOCAL CAPITAL MARKETS DEVELOPMENT, EUROPEAN BANK FOR RECONSTRUCTION & DEVELOPMENT**, London UK (2011-18); **INTERNATIONAL ACADEMY OF COMPARATIVE LAW** (2010 -); **ASIAN LAW CENTRE**, Melbourne Law School (2008-); **LAW COUNCIL OF AUSTRALIA** (2007-2016); **AMERICAN LAW INSTITUTE** (2004 -); Honorary Senior Fellow, **MELBOURNE LAW SCHOOL**, Melbourne AU (2004-2005); Advisory Council, **CHAIRE EN DROIT DES AFFAIRES ET DU COMMERCE INTERNATIONAL, UNIVERSITE DE MONTREAL**, Montreal CA (2004 -2005); Study Group Member, **COUNCIL ON FOREIGN RELATIONS** (2001-2002), New York USA, “Building a Transatlantic Securities Market”; **ABA SUBCOMMITTEE ON INTERNATIONAL CORPORATE GOVERNANCE** (2001); Panel member and Judge, **HONG KONG SOCIETY OF ACCOUNTANTS**, Best Corporate Governance Award (2001, 2002); Chair, **PROFESSIONAL WOMEN’S COMMITTEE**, Asian Development Bank (1999); Board of Editors, **CORPORATE GOVERNANCE QUARTERLY**, The Hong Kong Institute of Company Secretaries (1995–1996); Task Force Member, **CANADIAN SECURITIES ADMINISTRATORS**, Task Force on Operational Efficiencies (1994–95); Editor-in-Chief, **NORTH AMERICAN CORPORATE LAWYER**, Federated Press (1992–1998); **AMERICAS SOCIETY**, New York USA (1985-1989); Editor-in-Chief, **McGILL LAW JOURNAL**, vol. 23 (1977)

PRESENTATIONS AND PUBLICATIONS

Presentations and Publications: Over one hundred and fifty formal presentations and publications since 1992 on international capital markets, corporate law, corporate governance, law and development in North America, Asia, Australia, New Zealand, the United Kingdom and Europe. See Annex 1

COMMUNITY SERVICE

Grand Pré and Area Community Association, Nova Scotia CA. Advisor
Landscape of Grand Pré Society, UNESCO World Heritage Site, Nova Scotia CA. Advisor
Grand Pré and Area Community Association Newsletter, Nova Scotia CA. Editor

ANNEX 1

MAJOR GRANTS, PUBLICATIONS AND PRESENTATIONS

MAJOR GRANTS

“Success and Failure in Stock Exchange Consolidations: Implications for Markets and Regulation”, *Centre for International Finance and Regulation*, Sydney AU (2013-2015)

“The End of Internationalism? IOSCO, International Standards and Capital Markets Regulation”, *Centre for International Governance Innovation*, Waterloo CA (2016-2018)

PUBLICATIONS

Books, Chapters, Monographs and Policy Papers

INTERNATIONAL CAPITAL MARKETS: LAW AND INSTITUTIONS, with J.B.GOLDEN , Consultant Editor, 2nd ed (Oxford: Oxford University Press, 2021)

Voluntary Codes of Corporate Governance: Evolution and Implications, in CORPORATE CITIZEN: NEW PERSPECTIVES ON THE GLOBALIZATION OF THE RULE OF LAW, Oonagh E. FitzGerald (ed) (McGill Queens University Press, 2020), ch 12, 209.

The New Internationalism? IOSCO, International Standards and Capital Markets Regulation, CIGI Research Paper 189, Waterloo CA (September 2018)

Beyond International Standards: Mapping the Future of Capital Markets Regulation, CIGI Policy Brief 136, Waterloo CA (September 6, 2018)

International Standards: An Argument for Discernment, CIGI Policy Brief 135, Waterloo CA (August 30, 2018)

The New Internationalism? IOSCO, International Standards and Capital Markets Regulation, CIGI Commentary, Waterloo CA (July 2017)

The New Internationalism? IOSCO, International Standards and Capital Markets Regulation – Issues Paper, CENTRE FOR INTERNATIONAL GOVERNANCE INNOVATION ROUNDTABLE (Ottawa CA, June 9, 2017)

SUCCESS AND FAILURE IN STOCK EXCHANGE CONSOLIDATIONS: IMPLICATIONS FOR MARKETS AND THEIR REGULATION, Centre for International Finance and Regulation, Sydney AU, CIFR Paper No 118/2016, available at SSRN: <https://ssrn.com/abstract=2829188>

INTERNATIONAL CAPITAL MARKETS: LAW AND INSTITUTIONS, with J.B.GOLDEN, Consultant Editor (Oxford: Oxford University Press, 2014)

Lessons from Hong Kong, China and Viet Nam: Experiments in Companies Law, in

COMPARATIVE LAW AND INTERNATIONAL ORGANIZATIONS: COMPETITION, COOPERATION AND CONNECTIONS, L. Heckendorf, C. Picker & D. Solenik (eds) (Zurich: Schulthess, 2013)

Cadbury Twenty Years Later, in *INTERNATIONAL BUSINESS LAW IN THE 21ST CENTURY: CHALLENGES AND ISSUES IN EAST ASIA* (National Cheng Chi University (Taiwan) and Cambridge Management Publishing, 2013)

The Liability of Asset Managers in Canada, with P. HUGHES, in *LIABILITY OF ASSET MANAGERS*, D. Busch, D. DeMott (eds) (Oxford: Oxford University Press, 2012)

CAPITAL MARKET INTEGRATION IN THE EAST AFRICAN COMMUNITY, with A. ALAWODE, Y. KIM, T. ENDO (Washington, DC: The World Bank, Financial Sector Division, Finance, Private Sector and Infrastructure, Africa Region, 2002)

AN INTERNATIONAL SURVEY OF COMPANIES LAW IN THE COMMONWEALTH, NORTH AMERICA, ASIA AND EUROPE, (London: Department of Trade and Industry, 1999)

INTERNATIONAL SURVEY OF CORPORATE LAW IN ASIA, EUROPE, NORTH AMERICA AND THE COMMONWEALTH (Melbourne: The Centre for Corporate Law and Securities Regulation, 1997)

B. WELLING, W. RAYNER, C. JORDAN, L. SMITH, *CANADIAN CORPORATE LAW: CASES, NOTES & MATERIALS* (Toronto: Butterworths, 1996)

Scholarly Journals and Collected Papers

How international finance really works, 7 *Law and Financial Markets Review* 256 (2013)

Cadbury Twenty Years Later, 58 *Villanova L.R.* 1 (2013)

The Wider Context: The Future of Capital Markets Regulation in Developed Markets, 6 *Law and Financial Markets Review* 130 (2012)

The Wider Context: The Future of Capital Market Regulation in Developed Markets, in *LAW IN TRANSITION*, EBRD, London UK, May 2012

The Dangerous Illusion of International Financial Standards and the Legacy of the Financial Stability Forum, 12 *San Diego Int'l L. J.* 333 (2011)

Prospects for Securitisation in Transition Economies, 28(1) *Comp. & Sec. L.J.* 63 (2010)

Diversity and Resilience: Lessons from the Financial Crisis, with A. JAIN, 32 *Univ. NSW L.J.* 416 (2009)

- Unlovely and Unloved: Corporate Law Reform's Progeny*, 33 Melb.Univ. L.R. 626 (2009)
- Political Prisoner: A Securities Regulator for Canada – Again?*, 47 Can.Bus.L.J. 66 (2008)
- International Securities Markets: Insider Trading Law in China*, by Hui Huang, book review, 4 J. Law & Econ. Policy 471 (2008)
- Which Way for Market Institutions: The Fundamental Question of Self-Regulation*, with P. HUGHES, 4 Berkeley Bus. L.J. 229 (2007)
- The Chameleon Effect: Beyond the Bonding Hypothesis for Cross-Listed Securities*, 3 NYU J. of Law & Business 37 (2006)
- The Conundrum of Corporate Governance*, 30 Brook. J. Int'l L. 983 (2005)
- Financial Regulatory Harmonization and the Globalization of Finance*, with G. MAJNONI, in GLOBALIZATION AND NATIONAL FINANCIAL SYSTEMS, eds. J.A. HANSON, P. HONOHAN, G. MAJNONI, Washington: World Bank/Oxford University Press (2003)
- Financial Regulatory Harmonization and the Globalization of Finance*, with G. MAJNONI, POLICY RESEARCH WORKING PAPER 2919, FINANCIAL SECTOR OPERATIONS AND POLICY DEPARTMENT, The World Bank (October 2002)
- How Effective are Capital Markets in Exerting Governance on Corporations? Recent Lessons from Emerging Markets*, with MIKE LUBRANO, in FINANCIAL SECTOR GOVERNANCE: THE ROLES OF THE PUBLIC AND PRIVATE SECTORS, Washington: Brookings Press (2002)
- Comment on 'An Alternative Regulatory Model for Canada': A View from Afar*, in QUEEN'S UNIVERSITY ANNUAL BUSINESS LAW SYMPOSIUM 2001, Scarborough: Carswell, at 59 (2002)
- Rethinking the Private Company and Close Corporation – A World Tour* in THE FUTURE OF CORPORATE LAW, Scarborough: Carswell, at 283 (1999)
- The Politics of Law Reform: The Making of Companies Law in Hong Kong*, 7 Canta. L.R. 22 (1998)
- Securities Regulation in Hong Kong*, with C.K. LOW, in WALKER, COX, RAMSAY (eds), INTERNATIONAL SECURITIES REGULATIONS, Pacific Rim, Dobbs Ferry, New York: Oceana, (1997)
- Family Resemblances: the Family Controlled Company in Asia and its Implications for Law Reform*, 8 Aust. J. of Corp. Law 89 (1997)

Towards a Commonwealth Model of Companies Law, in F.M. PATFIELD (ed.), PERSPECTIVES ON COMPANY LAW: 2, London: Kluwer Law International, at 289 (1997)

Doing Business in Hong Kong From 1 July, New Zealand L. J. 9 (1997)

Regulation of Canadian Capital Markets in the 1990's: The United States in the Driver's Seat, 4 Pac. Rim L & Pol'y J. 577 (1995)

The New Morality in Quebec Company Law: Directors' Liability after the Civil Code of Quebec, 25 Can.Bus.L.J. 216 (1995)

Canadian Participation in International Capital Markets: A Reassessment in MEREDITH LECTURES, CROSSBORDER TRANSACTIONS, Cowansville: Yvon Blais, (1994)

The Thrills and Spills of Free-Riding: International Issues Before the Ontario Securities Commission, 23 Can.Bus. L.J. 379. (1994)

Lessons from the Bennett Affair, 38 McGill L.J. 1071 (1993)

Multijurisdictional Disclosure System between Canada and the United States, 1 Can.U.S.Bus.L.R. 141 (1990)

U.S. Takeover Defences - In the Canadian Context, 2 Rev. Int'l Bus. Law 205 (1988)

The Survival of the Insanity Defence, 31 Crim..R. (3d) 12. (1983)

A Trade Mark Primer: Deviating Use and Concurrent User, 5 Can.Bus.L.J. 3. (1980-81)

Professional Publications

Unlovely and Unloved: Corporate Law Reform's Progeny, Keeping good companies, Chartered Secretaries of Australia, 136 (April 2008)

Sounds Familiar, Hong Kong Lawyer, 14 (August 1998)

The Politics of Law Reform, Company Secretary, Hong Kong, 34 (February 1998)

Overhauling Hong Kong's Company Law, Hong Kong Lawyer, 38 (December 1997)

Hong Kong through train, Business Asia, The Economist Intelligence Unit, 1 (July 14, 1997)

Hong Kong looks to cast off UK company law past, International Financial Law Review, London, 29 (July 1997)

A Light in the Dark: Hong Kong Company Law, Hong Kong Lawyer, 38 (February 1997)

Doing Business in Hong Kong After 1997 - The Legal Considerations, PROCEEDINGS OF THE 11TH COMMONWEALTH LAW CONFERENCE AND CANADIAN BAR ASSOCIATION ANNUAL MEETING, VANCOUVER, CANADA, (AUGUST 25-29, 1996)

Draft National Policy 53: Hurry up and Wait, SECURITIES SUPERCONFERENCE, Toronto: Canadian Institute (1995)

Accessing the Markets for Financial Services in Other Countries, in CAPITAL MARKETS FORUM YEARBOOK, Vol. 1, 52, London: Graham & Trotman (1994)

The Cuckoo in the Nest: Securities Act Amendments introduced by Ontario Bill 134, SECURITIES SUPERCONFERENCE, Toronto: Canadian Institute (1994)

Financial Services Under NAFTA: The View from Canada, The Review of Banking & Financial Services, New York, 45 (March 1993)

Un nouveau raccourci pour les émetteurs: le prospectus préalable in DEVELOPPEMENTS RECENTS EN VALEURS MOBILIERES, Cowansville: Yvon Blais (1992)

New Quebec Civil Code, International Financial Law Review, London, 13 (March 1992)

Multijurisdictional Disclosure System, with Pamela Gibson, International Financial Law Review, London, 40 (December 1990)

Multijurisdictional Disclosure System, Securities and Corporate Regulation Review, 109 (September 1990)

The Proposed Shelf Prospectus Offering System, with Mark DesLauriers, Securities and Corporate Regulation Review, 117 (October 1990)

Multijurisdictional Disclosure System, The Review of Commodities and Securities Regulation, New York, 55 (March 1990)

The Canada-United States Free Trade Agreement, The Review of Banking & Financial Services, New York, 33 (February 1989)

Bye Bye Investment Canada, 1 Int'l Law Practicum 29 (1988)

Canadian Financial Services - The New Broom, The Review of Financial Services Regulation, New York, 177 (October 1987)

The Convention on Recognition of Trusts, 1 N.Y. Int'l Law Rev. 18 (1987)

Setting up Shop South of the Border, Trade Law Topics, Toronto (January 1987)

Highlights of United States Countervailing Duty Cases 1985-1986, TRADE LAW STRATEGIES CONFERENCE, Toronto (April 22, 1986)

Countervailing Duties: Softwood Products Revisited, Trade Law Topics, Toronto (March 1986)

Newspaper Articles

Time to start again on complex Corporations Act, The (Australian) Financial Review, 63 (March 17, 2008)

Unlovely and Unloved: Corporate Law Reform's Progeny, Lawyers Weekly, Australia, 18 (March 7, 2008)

Hong Kong Business Needs a Legal Tuneup, Asian Wall Street Journal, 6, (January 14, 1998)

Canada Needs a National Securities Regulator, The (Toronto) Financial Post, 13, (February 24, 1995)

NAFTA already exists in capital markets, The (Toronto) Financial Post, S4 (Oct. 2, 1993)

The Problem with Banking on NAFTA, The (Toronto) Globe & Mail, A23, (April 27, 1993)

Importers and Exporters: 'Be Aware', The (Toronto) Financial Post (April 13, 1992)

Benefits of Cross-border Security Law, The (Toronto) Financial Post, 30 (September 9, 1991)

Cross-border Shopping for Securities Markets, The (Toronto) Financial Post, 9 (June 19, 1991)

Easing Cross-border Securities Offerings, The (Toronto) Financial Post, 18 (December 7, 1990)

Reports for The Review of The Hong Kong Companies Ordinance

Executive Summary - Consultancy Report on the Review of the Hong Kong Companies Ordinance, March 1997 (available at www.info.gov.hk-info-cmpny.htm). Also published in Chinese

Consultancy Report on the Review of the Hong Kong Companies Ordinance, March 1997 (available at www.info.gov.hk-info-cmpny.htm)

International Business Companies, October 1996

Family Controlled Companies, September 1996

New Hong Kong Companies Ordinance, July 1996

Foreign / International Business Corporations, Briefing Book, July 1996

Share Transfers, June 1996

Close Corporations: Malaysia, Singapore, Japan and Taiwan, June 1996

Directors' Duties: Corporate Governance Issues, Briefing Book, May 1996

Shareholders' Rights and Remedies, Briefing Book, May 1996

Overview of the Hong Kong Companies Ordinance, January 1996. Also published in Chinese

A Comparative Survey of Companies Law in Selected Jurisdictions, January 1996. Also published in Chinese

Corporate Formalities, Briefing Book, November 1995

Identification of Core Company Law, Briefing Book, May 1995

Inception Report - An Ordinance for the 21st Century, February 1995

PRESENTATIONS

“The End of Internationalism? IOSCO, International Standards and Capital Markets Regulation”, *Commercial Law Centre Lecture Michaelmas Term*, Oxford, UK, October 31, 2018

“Uncommon Laws”, *LLB Common Law Launch*, University of Glasgow, School of Law, Glasgow UK, October 19, 2018

“The Search for a New Internationalism in the Capital Markets”, Seminar, University of Glasgow, School of Law, Glasgow UK, October 18, 2018

“The Romance of the Public Markets”, *Balancing Security while Fostering Competition and Innovations*, University of Calgary School of Public Policy, Toronto CA, November 8, 2017

“The Romance of the Public Markets”, *Canadian Capital Markets and the Public Company*, C.D. Howe Institute, Toronto CA, June 15, 2017

“The End of Internationalism?”, *McKercher LLP Lecture Series*, University of Regina, Regina CA, March 3, 2016

“The End of Internationalism?”, *The Estey Lecture, McKercher LLP Lecture Series*, College of Law, University of Saskatchewan, Saskatoon CA, February 29, 2016

“How International Financial Markets Really Work”, Centre for Transnational Legal Studies, London UK, February 2, 2016

“The End of Internationalism?”, British Institute of International and Comparative Law, London UK, February 2, 2016

“Riding the Wind: Regulating the New Capital Markets”, Faculty of Law, University of Warwick, Coventry, UK, February 1, 2016

“The End of Internationalism in Capital Markets”, *Current Issues Panel, P.R.I.M.E. Finance Fifth Annual Conference*, The Hague NL, January 25-26, 2016

“Riding the Wind: Regulating the New Capital Markets”, presentation to the Law Society of Hong Kong and the Hong Kong Institute of Chartered Secretaries, Hong Kong SAR, April 14, 2015

“Naviguer en période de turbulence: Réglementer les nouveaux marchés financiers/Riding the Wind: Regulating the New Capital Markets”, *Third Annual Paule Gauthier Lecture*, Faculty of Law, Laval University, Quebec City CA, April 9, 2015

“International Financial Standards and the Lex Mercatoria”, Faculty Seminar, McGill University, Montreal CA, April 6, 2015

“International Capital Markets: Law and Institutions”, Cleary Gottlieb Steen & Hamilton, New York USA, December 17, 2014

“How International Financial Markets Really Work”, Georgetown Law Center, Washington DC USA, September 11, 2014

“A Fine and Private Place: the Lex Mercatoria of Finance”, *Reconceptualising Global Finance and its Regulation*, University of Hong Kong, Hong Kong SAR December 13-14, 2013

“How International Financial Markets Really Work”, *Max Planck Institute for European, International and Regulatory Procedural Law*, Luxembourg LU, May 22, 2013

“From MAD to MADDER: Rethinking Market Abuse”, *Financial Markets Law and Regulation for Transition Economies*, European Bank for Reconstruction and Development, London School of Economics and Political Science, London UK, March 27-29, 2013

“International Financial Standards and the Explanatory Force of the Lex Mercatoria”,

Center for Transnational Legal Studies, London UK, February 5, 2013

“International Financial Standards and the Explanatory Force of the Lex Mercatoria”, *Second Annual Research Forum, American Society of International Law*, Athens GA USA, October 19-21, 2012 (paper chosen for presentation in a competitive, double blind selection process).

“Caught in Transition: Directors Duties under the Corporations Act 2001”, presentation to the Australian Securities and Investment Commission (ASIC), Melbourne AU, September 6, 2012

“International Financial Standards and Soft Codification”, *International Academy of Comparative Law, Thematic Congress on Codification*, National Taiwan University, Taipei TW, May 24-26, 2012

“Voluntary Codes of Corporate Governance: A Story in Proliferation and Diversification”, *International Business Law in 21st Century: Challenges and Issues in East Asia*, National Cheng-Chi University College of Law, Taipei TW, May 21-22, 2012

“Market Abuse in Transition Economies: From MAD to MADDER”, *Financial Markets, Law and Regulation for Transition Economies*, European Bank for Reconstruction and Development and the London School of Economics and Political Science, London UK, April 19, 2012

“Cadbury: Twenty Years On”, Trinity College Dublin, Dublin IE, April 10, 2012

“International Financial Standards and the Lex Mercatoria”, International University College of Turin, Turin IT, January 31, 2012

“International Financial Standards and the Lex Mercatoria”, London School of Economics and Political Science, London UK, December 6, 2011

“International Financial Standards and the Lex Mercatoria”, Melbourne Law School Research Seminar, Melbourne AU, October 3, 2011

“The Wider Context: The Future of Securities Regulation in Developed Economies”, European Bank for Reconstruction and Development (EBRD), Advisory Panel on Local Capital Markets Development, London UK, April 15, 2011

“International Financial Standards and the Lex Mercatoria”, Center for Transnational Legal Studies, London UK, March 30, 2011

“International Financial Standards and the Lex Mercatoria”, Faculty of Law, Cambridge University, Cambridge UK, March 7, 2011

“Law Reform Proposals by International Organizations and Development Agencies and

their Impact in China and Vietnam: Corporate Law”, *Comparative Law and International Organizations: Competition, Cooperation and Connections*, Swiss Institute of Comparative Law, Lausanne CH, September 10, 2010

“Private Law and Globalization: Practice Inspiring Theory”, Private Law Theory Workshop, *The XVIIIth International Congress of Comparative Law*, International Academy of Comparative Law, Washington DC USA, July 28, 2010

“Transnational Law: Practice Inspiring Theory”, *Teaching Transnational Law Conference*, Georgetown Center for Transnational Legal Studies, Torino IT, May 21, 2010

“Does ‘F’ Stand for Failure: the Legacy of the Financial Stability Forum”, *Business Law Workshop*, Maurer School of Law, University of Indiana-Bloomington ID USA, March 30, 2010

“Diversity and Resilience: Lessons from the Financial Crisis”, *21st Century Commercial Law Forum*, Commercial Law Research Centre, Tsinghua University, Beijing CN, October 24, 2009

“Diversity and Resilience: Lessons from the Financial Crisis”, Université de Montréal, Faculté de droit, Montreal CA, October 7, 2009

“Diversity and Resilience: Lessons from the Financial Crisis”, *Canadian Law and Economics Association 2009 Meeting*, University of Toronto, Toronto CA, October 3, 2009

“Does ‘F’ Stand for Failure: the Legacy of the Financial Stability Forum”, *Investment Industry Regulatory Association of Canada/DeGroot Business School Lecture Series*, Toronto CA, September 29, 2009

“Financial Market Associations: Changing Times and Competing Models”, *IFC Seminar on Building Local Bond and Bond Market Association*, Nha Trang VN, June 6, 2009

“The Regulation Pendulum – Getting the Post-Crisis Regulatory Environment Right”, *Future Summit 2009*, Melbourne AU, May 19, 2009

“Securitization: Legal Structures and Legal Issues”, *Asian Development Bank/State Bank of Vietnam Workshop*, Hanoi VN, February 27, 2009

“Legal Origins Revisited: The Case of Corporate Governance”, University of British Columbia, Law School, Vancouver CA, January 12, 2009

“Chaos: Genesis and Implications of the Financial Crisis”, University of Melbourne Law School, Melbourne AU, October 28, 2008

“Legal Origins Revisited: The Case of Corporate Governance”, University of San Diego Law School, San Diego USA, October 3, 2008

“Which Way for Market Institutions? The Fundamental Question of Self-Regulation”, University of Toronto, *Canadian Law and Economics Association 2008 Meeting*, Toronto CA, September 26, 2008

“Legal Origins Revisited: The Case of Corporate Governance”, *Business Law Workshop*, Vanderbilt University Law School, Nashville TN USA, September 25, 2008

“Unlovely and Unloved: Corporate Law Reform’s Progeny”, University of Melbourne Law School, Melbourne AU, August 10, 2008

“Unlovely and Unloved: Corporate Law Reform’s Progeny”, *Corporate Law Teachers Association Annual Meeting*, Sydney AU, February 5, 2008

“Which Way for Market Institutions: The Fundamental Question of Self-Regulation”, *6th Australian Business Law Workshop*, Canberra AU, November 18, 2007

“Legal Origins Revisited: The Case of Corporate Governance”, University of Melbourne Law School, Melbourne AU, August 13, 2007

“Beyond the Myth of Anglo-American Corporate Governance – Policy Dialogue”, presenter and moderator, Institute of Chartered Accountants for England and Wales, London UK, January 10, 2007

“The Story of Audit Committees: Controversies and their Alternatives”, Faculty of Law, Florida State University, Tallahassee FL USA, March 29, 2006

“The Story of Audit Committees: Controversies and their Alternatives”, Faculté de droit, Université de Montréal, Montreal CA, March 16, 2006

“The Story of Audit Committees: Controversies and their Alternatives”, The World Bank, Washington DC USA, February 22, 2006

“The Conundrum of Corporate Governance”, Faculty of Law, Cambridge University, Cambridge UK, October 25, 2005

“The Conundrum of Corporate Governance”, London School of Economics, London UK, October 24, 2005

“The Conundrum of Corporate Governance”, Florida State University School of Law, Tallahassee FL USA, June 6, 2005

“Corporate Groups and Corporate Governance”, *2005 Annual Symposium of the UWILJ, Economic Globalization and Corporate Governance*, University of Wisconsin, Madison

WI USA, March 11, 2005

“Lao Business Law”, presentation to the drafting committee on commercial law of the Laotian National Assembly, Vientiane LA, December 9, 2004

“Legal Approaches to Corporate Groups”, *World Bank, CIEM, MPDF Workshop*, Hanoi VN, December 3, 2004

“International Cross-Listing and Bonding: The Chameleon Effect”, *New York Stock Exchange Conference on the Future of Global Equity Trading*, Sarasota FL USA, March 11-13, 2004

“Tradeoffs between Laws, Regulations, Courts and Regulators”, *Global Corporate Governance Forum, Enforcement in Corporate Governance Workshop*, The World Bank, Washington DC USA, June 19, 2003

“Family Resemblances: The Family Controlled Company in Asia – The Legal Implications”. *Asian Family Businesses; Strategies for Profitability and Continuity*, The Asia Society, New York City USA, June 12, 2003

“Hidden Assumptions, Unintended Consequences: A Story of Privatization and Foreign Direct Investment”, *Appel Lectures, Foreign Direct Investment*, Columbia Law School, New York City USA, March 29, 2003

“The Conundrum of Corporate Governance”, *Brooklyn Law School and the New York Stock Exchange Roundtable*, New York City, USA, October 4, 2002

“Capital Markets and Governance”, *APEC Policy Dialogue on Corporate Governance*, Hong Kong SAR, August 2, 2002

“Capital Markets and Governance: The Role of Public and Private Rules”, The World Bank, Jakarta ID, June 6, 2002

“Capital Markets and Governance: The Role of Private and Public Rules”, *The Sixth European Financial Markets Convention 2002: European Union Legislation for a European Capital Market*, Brussels BE, May 31, 2002

“Experimentation in Capital Markets Regulation”, *Federation of European Securities Exchanges*, Brussels BE, May 29, 2002

“Capital Markets and Governance: The Role of Private and Public Rules”, University of Washington, Seattle WA USA, May 20, 2002

“How Effective are Capital Markets in Exerting Governance on Corporates: Lessons of Recent Experience with Private and Public Legal Rules”, *4th Annual Financial Markets and Development Conference*, The World Bank/The International Monetary Fund/the

Brookings Institution, New York City USA, April 17, 2002

“When a Goose is not a Gander: The Story of Audit Committees”, The World Bank, Washington DC USA, January 9, 2002.

“Comment on ‘An Alternative Regulatory Model for Canada’: A View from Afar”, *Queen’s University Annual Business Law Symposium*, Queen’s University, Kingston, CA, November 16, 2001

“All Law is Local: Theories of Development in Financial Markets and their Regulation”, *Torys Second Annual Business Law Lecture*, Queen’s University, Kingston CA, November 15, 2001

“All Law is Local”, *Post-colonial Law: The Uses of Theory in Law Reform Projects*, American University, Washington DC, USA, April 27, 2001

“Experimentation in Securities Regulation”, *Non Bank Financial Institutions Workshop*, The World Bank, Washington DC USA, March 1, 2001

“E-Finance”, The World Bank, Washington DC USA, January 31, 2001

“Corporate Governance in Asia: A Slow Fire”, *Financial Management Association Annual Conference*, Seattle WA USA, October 26, 2000

“Experimentation in Capital Markets Regulation”, *Securities Regulation in the New Economy*, 2000 IOSCO Seminar Training Program, Montreal CA, October 25, 2000

“Law Matters – Corporate Governance Legal Reforms”, video presentation prepared for the World Bank Institute, Washington DC USA, October 13, 2000

“Corporate Governance in Asia and International Capital Markets”, video presentation prepared for the World Bank Institute, Washington DC USA, October 13, 2000

“Law Matters – Corporate Governance Legal Reforms in Asia and their Implications for the ECA Countries”, The World Bank, Washington DC USA, September 27, 2000.

“Experimentation in Capital Markets Legislation”, *World Bank Finance Forum 2000 – Finance in the New Millenium*, Chantilly VA USA, May 11, 2000

“The Making of New Companies Law in Hong Kong”, *Role of the Corporation in Modern Society*, George Washington University, Washington DC USA, October 22, 1999

“Creating the Virtuous Circle: Corporate Restructuring to Revitalized Capital Markets”, *1999 Capital Market Conference, Economic Recovery through a Revitalized Capital Market*, Jakarta ID, August 24, 1999

“Beginnings Determine Ends: Applying the Draft OECD Principles of Corporate Governance in Asia”, *Learning Group Seminar*, Asian Development Bank, Manila PH, April 16, 1999

“Beginnings Determine Ends: Applying the Draft OECD Principles of Corporate Governance in Asia”, *OECD Corporate Governance in Asia: A Comparative Perspective Conference*, Seoul KR, March 3-5, 1999

“The Making of New Companies Law in Hong Kong: A Long and Winding Road”, *Corporate Law Reform & Teaching in Australia and the Asia-Pacific Region Conference*, Monash University, Melbourne AU, February 8-9, 1999

“The New Corporate Governance”, *4th Annual Conference of the Asia Pacific Economic Law Forum*, City University, Hong Kong, December 5, 1998

“Cadbury is not a Chocolate Bar – Corporate Governance Unwrapped”, Asian Development Bank, Manila PH, November 17, 1998

“The Purpose and Content of a Business Enterprise Law in the Context of a Market Economy”, *Prime Minister’s Research Council, Enterprise Law for Vietnam*, Dalat, VN, October 28-31, 1998

“The Development and Relationship of Corporate Law and Securities Law”, *International Symposium on Securities Law*, Xiang Shan, CN, October 20, 1998

“Hong Kong Law: 2000 and Beyond - Beating a Path to Hong Kong’s Door”, *American Chamber of Commerce*, Hong Kong, September 15, 1997

“Proposed Review of the Companies Ordinance in Hong Kong - The Impact Upon Directors and their Boards”, *Hong Kong Institute of Directors*, Hong Kong, September 25, 1997

“Le marché boursier: instrument de développement et de financement des entreprises”, *Université des sciences économiques*, Ho Chi Minh City VN, June 12-14, 1997

“New Directions for Company Law in Asia”, *American Chamber of Commerce*, Hong Kong, June 4, 1997

“Recent Trends and Developments in Companies Law Reform”, *Workshop on Company Law*, Central Institute of Economic Management, Hanoi VN, August 1996

“Convergence and Competition in Companies and Corporate Law in the Asia Pacific Region”, *2nd Annual Asia Pacific Economic Law Forum Conference*, Canberra AU, February 8, 1996

“Regulation of Canadian Capital Markets in the 1990s”, *Financial Market Regulation in the Asia-Pacific Region*, APEC Study Center at the University of Washington, Seattle WA USA, September 28, 1995

"ALENA: Les services financiers et l'intégration économique en Amérique du Nord", *Septièmes Entretiens du Centre Jacques Cartier, La Régulation juridique des espaces économiques*, Lyon FR, December 1, 1994

“Liability of Corporate Directors in Quebec”, *24th Annual Workshop on Commercial and Consumer Law*, University of Toronto, Toronto CA, October 21-22, 1994

"Régime d'information multinational - Tour d'horizon", *Ecole des Hautes Etudes Commerciales*, Montreal CA, November 21, 1991 and December 8, 1992

"The Impact of the Internationalization of Capital Markets on the Role of the Regulator". *22nd Annual Commercial and Consumer Law Workshop*, McGill University, Montreal CA, October 15, 1992