I. **INTRODUCTION**

The Global Health Justice Partnership (GHJP) is a joint initiative between Yale Law School and Yale School of Public Health that collaborates with NGOs domestically and worldwide to provide research and advocacy to support critical health interventions. This parecer is submitted on behalf of GHJP by: MPH Candidate Paige Baum, MPH/MEM Candidate Anna Fiastro, JD Candidate Shane Kunselman, JD Candidate Miriam Rosenbaum, MSc., and JD Candidate Camila Vega, with editorial support from Professor Alice M. Miller, JD and Clinical Fellow Christine Ricardo, ScM, JD.

Based on GHJP’s expertise in research and analysis of the intersections between public health, law, human rights, and policy advocacy, the National Association of Public Defenders (Anadep) invited us to submit a parecer analyzing the Ministry of Health’s Zika and Microcephaly Protocol (the “Protocol”). This analysis provides a framework for the Federal Supreme Court to ensure women’s rights and health in the face of the Zika virus epidemic.

II. **SUMMARY OF FINDINGS**

After reviewing the Version 2.0 of the Protocol, literature on the Brazilian government’s public health policies and efforts related to the recent Zika and congenital Zika syndrome epidemics, and regarding Brazil’s national and international human rights obligations, we find the following:

- The Brazilian government’s failure to ensure adequate infrastructure, public health resources, and mosquito control programs in certain areas has greatly exacerbated the Zika and Zika-related congenital Zika syndrome epidemics particularly among poor women of racial minorities.
- In addition to contributing to the severity of the Zika and Zika-related congenital Zika syndrome epidemics, the Brazilian government has failed to enact adequate measures to ensure that all women have access to comprehensive reproductive health information and options, as required by Brazil’s public health and human rights commitments.
- The Protocol presents a critical opportunity for Brazil to affirm its commitment to women’s health and rights and to fulfill its national and international obligations to protect those women most affected by the Zika epidemic. To take advantage of this opportunity, the Protocol must:
  1. Account for the practical difficulties, including social and cultural barriers, many women face in accessing and using contraception;
  2. Provide information about all available reproductive health services, including what to do in the event that contraception fails, as well as guidance about when and how women may access legal abortions; and
  3. Equip healthcare professionals with medically accurate language to appropriately respond to women’s inquiries and concerns about safe pregnancy termination, regardless of the professional's ability to provide the procedure.
III. THE GOVERNMENT’S INFRASTRUCTURE AND PUBLIC HEALTH FAILINGS HAVE EXACERBATED THE ZIKA EPIDEMIC

Brazil’s 1988 Constitution creates an affirmative duty to ensure individual access to health care and to promote policies that address social, economic, and environmental determinants of health for all Brazilians.\(^1\) Despite many practical barriers, Brazil has previously followed its constitutional obligation to promote the health of marginalized populations in the face of public health crises. For example, in response to the HIV/AIDS epidemic, Brazilian health officials successfully supported expanded testing access, overcame cultural barriers, and promoted diverse and appropriate prevention and treatment options, regardless of race, sex, or class.\(^2\) \(^3\) \(^4\)

In the context of Zika, the government has thus far failed to meet its constitutional duties: it has failed to provide adequate infrastructure and it has failed to provide access to comprehensive, quality health services to all Brazilians, regardless of socio-economic status or race. The cost of these failings are now being disproportionately borne by poor, racial minority women and their families.

**A. The government’s inadequate investments in infrastructure have contributed to mosquito proliferation and consequently the prevalence of Zika among Brazil’s poorest women and communities.**

Current government policies neglect serious infrastructural deficiencies. These shortcomings in basic infrastructure are amplified in disorganized and crowded urban communities.\(^5\) \(^6\)

Approximately 25% of Brazil’s population lives in slum communities with minimal

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infrastructure and access to government services.\(^7\) As described below, inadequate urban infrastructure has allowed Zika-carrying mosquitos to rapidly proliferate. The Zika epidemic is thus largely a symptom of urban poverty. Urban slum residents are both at higher risk of exposure to the disease and have fewer resources than non-slum residents to address health problems if and when they arise.\(^8\)

Infrastructural shortcomings that have contributed to the Zika epidemic include, but are not limited to:

- **Poor access to clean water:** The government has failed to properly maintain water infrastructure in many parts of Brazil, which has lead to inconsistent water delivery to many homes.\(^9\) This forces residents to stockpile water within their homes, creating prime breeding places for Zika-carrying mosquitos.\(^10\)
- **Inadequate sanitation:** The neglect of basic sanitation and waste disposal services has contributed to the spread of Zika. Only 45% of Brazilians have access to proper sewage systems.\(^11\) Many people, especially in crowded urban areas, are regularly exposed to open sewers, which are known mosquito breeding grounds.\(^12\)
- **Inconsistent waste removal:** Lack of consistent trash pickup and disposal results in trash piles - also known mosquito breeding grounds - building up around people’s homes.\(^13\) Again, this problem disproportionately affects the urban poor.\(^14\)

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These infrastructural deficiencies disproportionately place impoverished women at high risk of exposure and transmission of Zika. Limited opportunities for formal employment and socio-cultural expectations about caregiving roles mean that poor women generally spend more time at home - near stagnant water, open sewage, and garbage-based mosquito breeding grounds - than males and women of higher socioeconomic status. In addition to the elevated risk of exposure to Zika, these women are burdened with the prospect of infection during pregnancy and the causal link with microcephaly or congenital Zika syndrome.

B. The government’s failure to provide a quality, comprehensive public health care system has compounded Zika’s impact on the poorest women and communities.

In combination with the infrastructural deficiencies described above, inadequate public health services have amplified the Zika epidemic. Again, the health burden associated with such deficiencies falls disproportionately on the poorest Brazilians. Two particularly Zika-exacerbating factors are (1) a lack of access to quality healthcare services and (2) failed mosquito control programs.

1. Because of the government’s failure to ensure universal and equal access to quality public health services, poor women and communities receive inadequate health care.

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Brazil’s public health system falls far short of the constitutional promise of universal and equal access to health services. For example, there are dramatic differences in regional health care coverage. The Northeast, the epicenter of the Zika epidemic, is home to more than half of all poor Brazilians, and is one of the most under-resourced areas of the public health care system. Rural areas also suffer inferior health care on average. Scarcity of doctors and medicine, long queues at hospital emergency departments, bed shortages, and outdated and malfunctioning equipment are all common in rural communities. In addition to regional disparities, there are dramatic inequities in burden of disease and access to care associated with race, with Brazilians of color suffering higher child and adult mortality as well as reduced access to care. These, among others, are issues that must be addressed to assure adequate health services.

2. Because of the government’s failure to sustain effective mosquito control programs, poor women and communities suffer a disproportionate burden of mosquito-borne illnesses.

21 WHO. Flawed but fair: Brazil's health system reaches out to the poor [Internet]. 2008 [Cited 2016 Mar 8], Available from: http://www.who.int/bulletin/volumes/86/4/08-030408/en/
22 WHO. Flawed but fair: Brazil's health system reaches out to the poor [Internet]. 2008 [Cited 2016 Mar 8], Available from: http://www.who.int/bulletin/volumes/86/4/08-030408/en/
For more than a century, Brazil has failed to implement appropriate and vigorous mosquito control measures, thereby allowing malaria, dengue, and other mosquito-borne illnesses to persist and become endemic.\(^{26}\) As is now the case with Zika, these mosquito-borne illnesses have long had a disproportionate impact on the poor and marginalized.\(^{27}\) Failed mosquito control programs are evidence of Brazil’s neglect to fulfill the right to health of the many women facing racial and socioeconomic barriers to health care.

### IV. THE PROTOCOL FAILS TO ADEQUATELY ADDRESS WOMEN’S REPRODUCTIVE RIGHTS AND HEALTH CARE NEEDS DESPITE NATIONAL AND INTERNATIONAL OBLIGATIONS

As with the HIV/AIDS epidemic, effectively combating Zika will require the Brazilian government to work within a diverse cultural and social context to evaluate the needs of vulnerable populations, and to expand the availability of health resources to those populations. The Protocol appropriately acknowledges the importance of contraceptive use as well as men’s role in pregnancy.\(^{28}\) It does not, however, reflect an understanding of social context adequate to address the Zika epidemic in a way that supports Brazil’s commitment to public health and human rights goals.

Specifically, the Protocol ignores the complex realities associated with women’s reproductive decisions. It does not account for the practical challenges that many individuals, particularly poor women, face in obtaining and using contraception, nor does it make any mention of abortion, legally available or otherwise. To advance public health and human rights, the protocol must be rooted in women’s lived experiences, rather than merely in theoretical solutions. Further, the Protocol’s exclusion of comprehensive reproductive health options demonstrates the Brazilian government’s neglect of its international obligations to protect women’s health.

There is strong evidence that there is a relationship between infection Zika during pregnancy and congenital malformations.\(^{29}\)\(^{30}\) Therefore, the Protocol should emphasize that health professionals must respect the woman’s autonomy in decision making. Women who have been

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infected by Zika, or who are vulnerable to infection, should receive counseling, assistance and information to enable them to make better decisions regarding pregnancy and contraception.

A. The Protocol ignores the challenges many women, especially poor women, experience in obtaining and using contraception.

The World Health Organization (WHO) has declared that access to contraceptive information and services, regardless of race and socioeconomic status, is a human right. Contraception may be legal and free in Brazil, but the government has failed to ensure that individuals have actual access to information and services. The Protocol correctly recognizes that proper contraceptive use will play a large role in curbing the impact of the Zika epidemic. However, the Protocol must acknowledge the barriers many women, particularly poor women, face to contraceptive use. It must also provide guidance to health care professionals on delivering contraceptive information and services in a way that “ensures fully informed decision-making, respects dignity, autonomy, privacy and confidentiality, and is sensitive to individuals’ needs and perspective.”

Contraception is theoretically widely available in Brazil, but research indicates that there are still significant levels of unmet need. As many as 20% of sexually active adolescent women in Brazil are not using birth control, and approximately half of all births in Brazil are unintended.

Persistent social and gender inequalities – from under-resourced public health care clinics and lack of proper sexual education in public schools to unequal power dynamics in intimate relationships – create difficulties for poor and other marginalized women in accessing and using contraceptive information and methods. Other barriers include: cost and difficulty of transportation to health care clinics; access to information and services about the full range of methods, including emergency contraception; and lack of training and supervision of health care

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personnel. The Protocol, as a guide to health professionals consistent with their legal and ethical duties, is required to explicitly address these barriers.

There are strong indications of a link between Zika infection during pregnancy and birth defects. Therefore, the Protocol must emphasize that health professionals must respect women’s autonomy in decision-making. Women who have been infected with Zika, or are vulnerable to infection, should receive counseling, advice, and information that enables them to make the contraceptive and pregnancy decisions that are best for themselves.

B. The Protocol fails to acknowledge the widespread reality of abortion in Brazil and the urgency of improving access to information and services.

One of the most concerning aspects of the Protocol is its complete silence on the subject of abortion, therapeutic or otherwise. Despite restrictions, abortions are legal in certain circumstances. Moreover, abortion, legal or otherwise, is common in Brazil. Evidence-based public health interventions like the Protocol must address the health realities faced by Brazilian women. One in five Brazilian women have terminated at least one pregnancy in their lifetimes, and there are approximately 860,000 abortions in the country each year. Severe restrictions to legal abortion cause the vast majority of these abortions to occur outside the ambit of the law and the formal public health system. As the WHO has declared: “Whether abortion is legally more restricted or available on request, a woman’s likelihood of having an unintended pregnancy and seeking induced abortion is about the same. However, legal restrictions, together with other barriers, mean many women induce abortion themselves or seek abortion from unskilled providers.”

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As with many of Brazil’s other public health failings, it is poor women who suffer the burden of restrictive abortion laws. Therefore, not only is the Protocol’s silence on abortion a failure of the government’s public health promise of equitable health care, it also undermines women’s human rights and contradicts international health standards.

1. **The Protocol misses a crucial opportunity to educate health services providers about existing legal exceptions for abortion and the proper procedures for ensuring access.**

Abortion is legally available in instances of rape, anencephaly, or risk to the woman’s life, but the Protocol does not address how health care providers should assess for these factors or inform women of the necessary procedures by which abortions can be accessed in such cases. In particular, educating health care professionals about the rape-exception is paramount - it is estimated that a staggering 527,000 women suffer rape each year. Therefore, the protocol misses a crucial opportunity to educate health providers, and in turn women, about legal abortion. Current levels of health provider ignorance about abortion law are unacceptable; a national survey of OB-GYNs found that less than half had accurate knowledge of abortion law.

The Ministry of Health’s Technical Standards on abortion care for victims of sexual violence - Humanized Care for Abortion and Prevention and Treatment of Injuries Resulting from Sexual Violence against Women and Adolescents - are relevant guidelines that could help supplement the Zika Protocol on this question.

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2. *The Protocol fails to appropriately acknowledge and respond to the scientifically documentable fact that unsafe abortion is a public health reality in Brazil and one that disproportionately affects poor women.*

Abortion, regardless of the legal restrictions on the procedure, remains a relevant and important health topic to be discussed with women who have been exposed to Zika and face a potential fetal congenital Zika syndrome diagnosis. For women choosing not to carry their pregnancies to term but who do not fall into one of Brazil’s legal exceptions for abortion, clandestine abortions are an unfortunate reality.\(^{50}\) For poor women, this generally means unsafe abortions.\(^{51} \)\(^{52}\)

Unsafe abortions are the fourth leading cause of maternal mortality in Brazil.\(^{53}\) According to the Sistema Unico de Saude, complications due to unsafe abortions account for 250,000 annual emergency room visits each year.\(^{54}\) In fact, the Ministerio da Saude has acknowledged that, “O abortamento representa uma das principais causas de mortalidade materna no Brasil.” \(^{55}\)

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Evidence suggests that these figures will rise in light of the congenital Zika syndrome epidemic and Brazil’s failure to provide a comprehensive public health response.  

The Protocol provides a critical opportunity to equip health service professionals to help limit harm to women at risk of undergoing unsafe abortions. Health professionals have the professional and ethical duty to act to reduce the risks and harm associated with unsafe abortions by offering women information and counseling on their options. This “harm reduction” model, which seeks to ensure that women have access to scientifically-based and neutral counseling, has been implemented in other jurisdictions with similarly restrictive abortion laws. Such neutral counseling includes information on the risks associated with different means to induce abortion and signs of complications that require immediate attention. The health care professional is not involved in inducing the abortion, only in providing information to help women reduce avoidable harm.

C. The Protocol’s silence on abortion undermines Brazil’s national and international human rights commitments.

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Currently, thousands of Brazilian women are facing tremendous uncertainty and suffering as a result of the Zika epidemic. The Protocol’s reluctance to acknowledge the realities faced by women seeking family planning options and its continued failure to respect these women’s reproductive autonomy is a violation of its international human rights commitments. Per these commitments, affected women have the right to safe abortion, as grounded in:

- **The right to health**: All Brazilian women have the right to “the enjoyment of the highest level of physical, mental and social well-being.” As discussed above, the severe restrictions on abortion force thousands of poor Brazilian women each year to undergo unsafe abortions and compromise their health. As a result of the Zika epidemic, it is likely that an increased number of poor women will be seeking out unsafe, health-threatening abortions.

- **The right to life**: Deficiencies in access and education surrounding contraception and legal abortion for women, especially pregnant women concerned about the potential health effects of Zika and Zika-related congenital Zika syndrome, may risk their lives by resorting to unsafe clandestine abortions.

- **The right to equality**: Current abortion restrictions discriminate against poor women, many of whom are Afro-Brazilians, because these women lack the resources and information that their wealthier counterparts might use to access safe pregnancy terminations.

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• **The right to self-determination:** Forcing a woman to continue a pregnancy she does not want violates her autonomy and right to self-determination. In the reproductive health context, self-determination means “that people are able to have a responsible, satisfying, and safe sex life and that they have the capability to reproduce and the freedom to decide if, when, and how often to do so.”

The United Nations has urged Brazil to live up to its human rights commitments with respect to the Zika epidemic and its effects on reproductive health. Regarding safe, available abortions, the UN High Commissioner for Human Rights has stated that Brazilian “[l]aws and policies that restrict [women’s] access to these services must be urgently reviewed in line with human rights obligations in order to ensure the right to health for all in practice.” The UN has also expressed particular disappointment with the Brazilian government’s decision to combat Zika simply by advising that women avoid pregnancy rather than providing them with

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71 These rights are also expressed by several international compacts joined by Brazil, including: the International Covenant on Economic, Social, and Cultural Rights; the Additional Protocol of San Salvador to the Inter-American Human Rights Convention (Department of International Law, OAS [Internet]. 2016 [Accessed 2016 May 05]. Available at: [http://www.oas.org/juridico/english/treaties/a-52.html](http://www.oas.org/juridico/english/treaties/a-52.html). Several United Nations committees have also recognized these rights, including the Human Rights Committee; The Convention on the Elimination of all Forms of Discrimination against Women (UN Women [Internet]. 2016 [cited 2016 May 05]. Available at: [http://www.un.org/womenwatch/daw/cedaw/text/ecrvention.htm](http://www.un.org/womenwatch/daw/cedaw/text/ecrvention.htm). and the Committee on Economic, Social, and Cultural Rights (UN Human Rights [Internet]. 3 January 1976 [accessed 2016 May 05]. Available at: [http://www.ohchr.org/EN/ProfessionalInterest/Pages/CESCR.aspx](http://www.ohchr.org/EN/ProfessionalInterest/Pages/CESCR.aspx).


comprehensive reproductive health services. Charles Abbott, legal adviser for the Latin America & the Caribbean divisions of the US-based NGO, the Center for Reproductive Rights, urged, “[G]overnments must fulfill their international human rights obligations and cannot shirk that responsibility or pass it off to women. This includes adopting laws and policies to respect and protect women’s reproductive rights.” Neighboring countries have upheld their international human rights commitments by putting the UN’s advice into action. For example, Colombia, a country with similarly restrictive abortion laws - where 99% of abortions are illegal and unsafe abortions are one of the leading causes of maternal mortality - has expressly recognized an exception and is allowing Zika-infected women to seek legal abortions.


80 The UN Human Rights Chief has also taken the position that, “efforts to halt this crisis will not be enhanced by placing the focus on advising women and girls not to become pregnant. Many of the key issues revolve around men’s failure to uphold the rights of women and girls, and a range of strong measures need to be taken to tackle these underlying problems.”(United Nations. Upholding women’s human rights essential to Zika response – Zeid [Internet]. 2016, Feb 5 [cited 2016 Mar 7]. Available from: http://www.ohchr.org/EN/NewsEvents/Pages/DisplayNews.aspx?NewsID=17014&LangID=E.)


Brazil’s obligation to address maternal mortality and access to safe abortion is not just a matter of fulfilling its own Constitutional “right to health” mandate, but also concerns its international human rights obligations. Echoing the calls from women and families affected by Zika and the international community, and considering Brazil’s duties to respect health and human rights, we urge Brazil to revise its position on access to abortion. Zika is a wakeup call, and it is time for “sensible compromise that protects not only women’s reproductive rights but their lives.”

V. **RECOMMENDATIONS**

Foremost, GHJP recommends decriminalizing abortion for women affected by Zika. Ensuring that these women have access to safe abortions is necessary to ensure that they are able to fully control their reproductive lives and make the decisions that are best for them and their families. GHJP also recommends that the government invest in infrastructural and public health reforms in Zika-affected areas to mitigate the effects of the disease on vulnerable populations.

Acknowledging that decriminalization and improvement of services require legal, legislative, or executive action beyond the Ministry of Health’s Control, GHJP further recommends that the Ministry of Health immediately amend the Protocol to include:

1. Identification of and guidance to health providers on how to discuss the realities many women face in obtaining and successfully using contraception and other family planning methods. Specifically, this should include information about what to advise women to do when contraception is not available, when contraception is not used consistently, and when contraception fails.

2. Recognition of all forms of available contraception and other reproductive health services. As discussed, the protocol is a prime opportunity to expand awareness about and access to legally available abortions (i.e. in instances of risk to woman’s life, rape, and anencephaly).

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3. Guidelines on how to provide neutral and accurate information about safe pregnancy termination practices, regardless of whether the health care professional will be involved in providing the termination.

Of course, the Protocol is only as good as its implementation, and the Ministry of Health must invest in health care provider training and monitoring if its guidelines are to successfully improve public health.